



Annual Audit Compliance Report Form

Environmental Protection Act 1986, Part V Division 3

Once completed, please submit this form either via email to info@dwer.wa.gov.au, or to the below postal address:

Department of Water and Environmental Regulation
Locked Bag 10
Joondalup DC WA 6919

Section A – Licence details			
Licence number:	L5245/1967/14	Licence file number:	2010/007402-1
Licence holder name:	Alcoa of Australia Limited		
Trading as:			
ACN:	004879298		
Registered business address:	Cnr Davey St and Marmion St Booragoon WA 6154		
Reporting period:	01 / 01 / 2021 to 31 / 12 / 2021		

Section B – Statement of compliance with licence conditions
Did you comply with all of your licence conditions during the reporting period? (please tick the appropriate box)
<input type="checkbox"/> Yes – please complete: <ul style="list-style-type: none">• section C;• section D (if required); and• sign the declaration in Section F.
<input checked="" type="checkbox"/> No – please complete: <ul style="list-style-type: none">• section C;• section D (if required);• section E; and• sign the declaration in Section F.

Section C – Statement of actual production	
Provide the actual production quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed premises category	Actual production quantity
Category 5: Processing or beneficiation of metallic or non metallic ore	1,829,095 tonnes (Residue Filtration) 0 tonnes (Red Sand plant)
Category 46: Bauxite Refining	
Category 52: Electric power generation	56.63 MW
Category 58: Bulk material loading or unloading	
Category 64: Class II or III putrescible landfill site	495.26 tonnes
Category 67: Fuel Burning	57,074 SCM/h (powerhouse gas usage)

Section D – Statement of actual Part 2 waste discharge quantity			
Provide the actual Part 2 waste discharge quantity for this reporting period. Supporting documentation is to be attached.			
Prescribed premises category		Actual Part 2 waste discharge quantity	
Category 5: Processing or beneficiation of metallic or non metallic ore		1,829,095 tonnes	
Category 46: Bauxite Refining		2,761,197 tonnes	
Section E – Details of non-compliance with licence condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	G2(b) (vi)	Date(s) of non-compliance:	14/01/2021,06/04/2021, 01/07/2021, 18/01/2021;20/01/2021, 08/04/2021,12/07/2021, 13/07/2021,20/07/2021 15/01/2021,12/05/2021
Details of non-compliance:			
Alcoa contracts an independent stack emission testing company, who are accredited by the National Association of Testing Authorities, Australia, to undertake stack emission monitoring for Alcoa's compliance with the relevant licence conditions.			
Alcoa has identified that the stack emission testing company that completed the testing between 1 January-31 July 2021 applied procedural deviations to certain elements of some of the stack testing methods required in the licence.			
What was the actual (or suspected) environmental impact of the non-compliance?			
NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.			
No environmental impact is known or suspected at this time.			
Cause (or suspected cause) of non-compliance:			
The stack emission monitoring company had used its expertise to independently apply procedural deviations to certain elements of the testing methods contained in the licence.			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
Alcoa has appointed an alternative independent stack emission testing company to undertake stack emission monitoring for Alcoa's compliance with the relevant licence conditions as of 1 August 2021.			
Was this non-compliance previously reported to DWER?			
<input checked="" type="checkbox"/> Yes, and			
<input checked="" type="checkbox"/> Reported to DWER verbally		Date: 29/03/2021	
<input checked="" type="checkbox"/> Reported to DWER in writing		Date: 29 /04/2021	

Section E – Details of non-compliance with licence condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	A2(a)	Date(s) of non-compliance:	18/01/2021;20/01/2021, 08/04/2021,12/07/2021, 13/07/2021,20/07/2021, 15/01/2021,12/05/2021
Details of non-compliance:			
<p>Alcoa contracts an independent stack emission testing company, who are accredited by the National Association of Testing Authorities, Australia, to undertake stack emission monitoring for Alcoa's compliance with the relevant licence conditions.</p> <p>Alcoa has identified that the stack emission testing company that completed the testing between 1 January-31 July 2021 applied procedural deviations to certain elements of some of the stack testing methods required in the licence.</p>			
What was the actual (or suspected) environmental impact of the non-compliance?			
<p>NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.</p> <p>No environmental impact is known or suspected at this time.</p>			
Cause (or suspected cause) of non-compliance:			
The stack emission monitoring company had used its expertise to independently apply procedural deviations to certain elements of the testing methods contained in the licence.			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
Alcoa has appointed an alternative independent stack emission testing company to undertake stack emission monitoring for Alcoa's compliance with the relevant licence conditions as of 1 August 2021.			
Was this non-compliance previously reported to DWER?			
<input checked="" type="checkbox"/> Yes, and			
<input checked="" type="checkbox"/> Reported to DWER verbally		Date: 29/03/2021	
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Section E – Details of non-compliance with licence condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	A5(a)	Date(s) of non-compliance:	18/01/2021;20/01/2021, 08/04/2021,12/07/2021, 13/07/2021,20/07/2021
Details of non-compliance:			
Alcoa contracts an independent stack emission testing company, who are accredited by the National Association of Testing Authorities, Australia, to undertake stack emission monitoring for Alcoa’s compliance with the relevant licence conditions.			
Alcoa has identified that the stack emission testing company that completed the testing between 1 January-31 July 2021 applied procedural deviations to certain elements of some of the stack testing methods required in the licence.			
What was the actual (or suspected) environmental impact of the non-compliance?			
NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.			
No environmental impact is known or suspected at this time.			
Cause (or suspected cause) of non-compliance:			
The stack emission monitoring company had used its expertise to independently apply procedural deviations to certain elements of the testing methods contained in the licence.			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
Alcoa has appointed an alternative independent stack emission testing company to undertake stack emission monitoring for Alcoa’s compliance with the relevant licence conditions as of 1 August 2021.			
Was this non-compliance previously reported to DWER?			
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Section E – Details of non-compliance with licence condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	A8	Date(s) of non-compliance:	14/01/2021,06/04/2021, 01/07/2021
Details of non-compliance:			
<p>Alcoa contracts an independent stack emission testing company, who are accredited by the National Association of Testing Authorities, Australia, to undertake stack emission monitoring for Alcoa's compliance with the relevant licence conditions.</p> <p>Alcoa has identified that the stack emission testing company that completed the testing between 1 January-31 July 2021 applied procedural deviations to certain elements of some of the stack testing methods required in the licence.</p>			
<p>What was the actual (or suspected) environmental impact of the non-compliance?</p> <p>NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.</p>			
No environmental impact is known or suspected at this time.			
Cause (or suspected cause) of non-compliance:			
The stack emission monitoring company had used its expertise to independently apply procedural deviations to certain elements of the testing methods contained in the licence.			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
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Section E – Details of non-compliance with licence condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	A14	Date(s) of non-compliance:	15/01/2021,12/05/2021
Details of non-compliance:			
Alcoa contracts an independent stack emission testing company, who are accredited by the National Association of Testing Authorities, Australia, to undertake stack emission monitoring for Alcoa's compliance with the relevant licence conditions.			
Alcoa has identified that the stack emission testing company that completed the testing between 1 January-31 July 2021 applied procedural deviations to certain elements of some of the stack testing methods required in the licence.			
What was the actual (or suspected) environmental impact of the non-compliance?			
NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.			
No environmental impact is known or suspected at this time.			
Cause (or suspected cause) of non-compliance:			
The stack emission monitoring company had used its expertise to independently apply procedural deviations to certain elements of the testing methods contained in the licence.			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
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Section F – Declaration

I / We declare that the information in this Annual Audit Compliance Report is true and correct and is not false or misleading in a material particular¹.

I / We consent to the Annual Audit Compliance Report being published on the Department of Water and Environmental Regulation's (DWER) website.

Signature ² :		Signature:	
Name: (printed)		Name: (printed)	
Position:		Position:	
Date:	11.04.22	Date:	11.04.22
Seal (if signing under seal):			

¹ It is an offence under section 112 of the *Environmental Protection Act 1986* for a person to give information on this form that to their knowledge is false or misleading in a material particular.

² AACRs can only be signed by the licence holder or an authorised person with the legal authority to sign on behalf of the licence holder.