



Annual Audit Compliance Report Form

Environmental Protection Act 1986, Part V Division 3

Once completed, please submit this form either via email to info@dwer.wa.gov.au, or to the below postal address:

Department of Water and Environmental Regulation
Locked Bag 10
Joondalup DC WA 6919

Section A – Licence details			
Licence number:	L9155/2018/1	Licence file number:	2018/001153
Licence holder name:	Avoca Mining Pty Ltd		
Trading as:	Karora Resources Pty Ltd		
ACN:	108 547 217		
Registered business address:	6 Outram Street, West Perth WA 6005		
Reporting period:	01 / 07 / 2019 to 30 / 06 / 2020		

Section B – Statement of compliance with licence conditions
Did you comply with all of your licence conditions during the reporting period? (please tick the appropriate box)
<input type="checkbox"/> Yes – please complete: <ul style="list-style-type: none"> • section C; • section D (if required); and • sign the declaration in Section F.
<input checked="" type="checkbox"/> No – please complete: <ul style="list-style-type: none"> • section C; • section D (if required); • section E; and • sign the declaration in Section F.

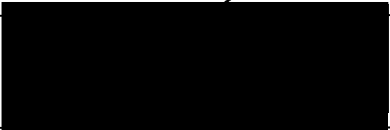

Section C – Statement of actual production	
Provide the actual production quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed premises category	Actual production quantity
05 - Beneficiation of metallic or non-metallic ore	1.255 Mt

Section D – Statement of actual Part 2 waste discharge quantity	
Provide the actual Part 2 waste discharge quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed premises category	Actual Part 2 waste discharge quantity
05 - Beneficiation of metallic or non-metallic ore	1.255 Mt
06 - Mine Dewatering	1.985 Mt

Section D – Statement of actual Part 2 waste discharge quantity			
Provide the actual Part 2 waste discharge quantity for this reporting period. Supporting documentation is to be attached.			
Prescribed premises category	Actual Part 2 waste discharge quantity		
54 – Sewage facility	50 kL/day		
Section E – Details of non-compliance with licence condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	Table 3.2.1	Date(s) of non-compliance:	Jul 2019 – Sept 2019
Details of non-compliance:			
Monthly measurements for pH, TDS and TSS were not taken for dewater discharged from Baloo pit to Lake Cowan during the months of July, August and September during the reporting period. DWER compliance inspector issued an Environmental Field Report Notice 903 for the failure to take the monthly samples on 22 October 2019.			
What was the actual (or suspected) environmental impact of the non-compliance? NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.			
It is likely that no environmental impact occurred due to the lack of monthly measurements for pH, TDS and TSS. The discharge of dewater from Baloo pit is sanctioned under the Higginsville licence (L9155). Discharge of Baloo pit dewater to Lake Cowan was undertaken as prescribed in the licence.			
Cause (or suspected cause) of non-compliance:			
The change in ownership from Westgold to RNC (Karora) resulted in a loss of corporate knowledge with the departure of several key staff. At the time of the incidents, Karora staff were not aware of the licence condition to monitor the pH, TDS and TSS of Baloo dewater.			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
RNC (Karora) immediately implemented the monthly monitoring of Baloo dewater following the inspection. The requirement to sample pH, TDS and TSS of Baloo dewater was added to the HGO Monthly Compliance Monitoring Checklist.			
Was this non-compliance previously reported to DWER?			
<input checked="" type="checkbox"/> Yes, and			
<input checked="" type="checkbox"/> Reported to DWER verbally		Date: 22 / 10 / 2019	
<input type="checkbox"/> Reported to DWER in writing		Date: / /	

Section E – Details of non-compliance with licence condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	Table 3.3.1	Date(s) of non-compliance:	Annual period
Details of non-compliance:			
<i>E. coli</i> CFU not monitored in treated irrigation wastewater at emission point L1 during the annual compliance period as required by Table 3.3.1 of the premises licence.			
What was the actual (or suspected) environmental impact of the non-compliance? NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.			
There are no targets or licence limits associated with the monitoring of <i>E. coli</i> in treated wastewater from the WWTP. The irrigation field is not located in the vicinity of any areas frequented by the workforce. There are no expected environmental impacts due to the non-compliance.			
Cause (or suspected cause) of non-compliance:			
There are no limits recommended in the licence for the presence of <i>E. coli</i> in treated wastewater. The lack of monitoring was an oversight during the ownership transition period. No Safe Working Procedure (SWP) for the monitoring of emission point L1 was developed at the time.			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
Karora has included sampling of <i>E. coli</i> in the HGO Monthly Compliance Monitoring Checklist. Karora will develop a SWP for the quarterly spot sampling of the treated wastewater.			
Was this non-compliance previously reported to DWER?			
<input checked="" type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally		Date: / /	
<input checked="" type="checkbox"/> Reported to DWER in writing		Date: 27 / 09 / 2020	

Section F – Declaration

<p>I / We declare that the information in this Annual Audit Compliance Report is true and correct and is not false or misleading in a material particular¹.</p> <p>I / We consent to the Annual Audit Compliance Report being published on the Department of Water and Environmental Regulation's (DWER) website.</p>			
Signature ² :		Signature:	
Name: (printed)		Name: (printed)	
Position:	GENERAL MANAGER	Position:	
Date:	27/9/2020	Date:	
Seal (if signing under seal):			

¹ It is an offence under section 112 of the *Environmental Protection Act 1986* for a person to give information on this form that to their knowledge is false or misleading in a material particular.

² AACRs can only be signed by the licence holder or an authorised person with the legal authority to sign on behalf of the licence holder.