



Annual Audit Compliance Report Form

Environmental Protection Act 1986, Part V Division 3

Once completed, please submit this form either via email to info@dwer.wa.gov.au, or to the below postal address:

Department of Water and Environmental Regulation
Locked Bag 10
Joondalup DC WA 6919

Section A – Licence details			
Licence number:	L8501/2010/2	Licence file number:	2012/007163-1
Licence holder name:	Global Advanced Metals Greenbushes Pty Ltd		
Trading as:			
ACN:	125 585 284		
Registered business address:	Level 3, Centrepoint Tower 123B Colin Street WEST PERTH, WA 6005		
Reporting period:	01/07/2019 to 30/06/2020		

Section B – Statement of compliance with licence conditions
Did you comply with all of your licence conditions during the reporting period? (please tick the appropriate box)
<input type="checkbox"/> Yes – please complete: <ul style="list-style-type: none">• section C;• section D (if required); and• sign the declaration in Section F.
<input checked="" type="checkbox"/> No – please complete: <ul style="list-style-type: none">• section C;• section D (if required);• section E; and• sign the declaration in Section F.

Section C – Statement of actual production	
Provide the actual production quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed premises category	Actual production quantity
44	712.155 tonnes

Section D – Statement of actual Part 2 waste discharge quantity	
Provide the actual Part 2 waste discharge quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed premises category	Actual Part 2 waste discharge quantity
NA	NA

Section E – Details of non-compliance with licence condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	Condition 3.1.1 (f)	Date(s) of non-compliance:	30/11/2019
Details of non-compliance:			
<p>Analysis of water sample collected from Tin Shed Dam was not performed for the Oct-Dec 2019 quarter at a NATA accredited laboratory as required by Condition 3.1.1 (f) (Note: the Licence was amended 23 December 2019 and at the time of the non-compliance, this condition was referenced as Condition 3.2.1(f)).</p> <p>The analysis presented in the Oct-Dec 2019 Quarterly Report was conducted by the onsite Talison Lithium Laboratory (not NATA accredited).</p>			
What was the actual (or suspected) environmental impact of the non-compliance?			
<p>NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.</p>			
The non-compliance was administrative and resulted in no actual or suspected environmental impact.			
Cause (or suspected cause) of non-compliance:			
This non-compliance has been investigated and the root cause identified was operator error during the busy Christmas holiday season.			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
Improvements in the way that monitoring activities are scheduled, assigned and actioned have been implemented to address this non-compliance. Reported to DWER in the Oct-Dec 2019 Quarterly Report.			
Was this non-compliance previously reported to DWER?			
<input checked="" type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally		Date: / /	
<input checked="" type="checkbox"/> Reported to DWER in writing		Date: 28 / 01 / 2020	

Section E – Details of non-compliance with licence condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	Condition 3.3.1	Date(s) of non-compliance:	30/11/2019
Details of non-compliance:			
Analysis of water sample collected from Tin Shed Dam was not performed for the Oct-Dec 2019 quarter for Caesium and Rubidium were not analysed as required by Condition 3.3.1.			
What was the actual (or suspected) environmental impact of the non-compliance? NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.			
The non-compliance was administrative and resulted in no actual or suspected environmental impact.			
Cause (or suspected cause) of non-compliance:			
This non-compliance has been investigated and the root cause identified was operator error during the busy Christmas holiday season.			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
Improvements in the way that monitoring activities are scheduled, assigned and actioned have been implemented to address this non-compliance. Reported to DWER in Oct-Dec 2019 Quarterly Report.			
Was this non-compliance previously reported to DWER?			
<input checked="" type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally		Date: / /	
<input checked="" type="checkbox"/> Reported to DWER in writing		Date: 28 / 01 / 2020	

Section F – Declaration

I / We declare that the information in this Annual Audit Compliance Report is true and correct and is not false or misleading in a material particular¹.

I / We consent to the Annual Audit Compliance Report being published on the Department of Water and Environmental Regulation's (DWER) website.

Signature ² :		Signature:	--
Name: (printed)		Name: (printed)	--
Position:	Operations Manager – Greenbushes	Position:	--
Date:	29 September 2020	Date:	--
Seal (if signing under seal):			

¹ It is an offence under section 112 of the *Environmental Protection Act 1986* for a person to give information on this form that to their knowledge is false or misleading in a material particular.

² AACRs can only be signed by the licence holder or an authorised person with the legal authority to sign on behalf of the licence holder.