

7 APPENDIX A - Annual Audit Compliance Report

ANNUAL AUDIT COMPLIANCE REPORT FOR THE DEC

SECTION A

LICENCE DETAILS

Licence Number: L8878/2015/1	Licence File Number:
Company Name: Ramelius Resources Pty Ltd – Kathleen Valley	ABN:
Reporting period: 1st December 2015 – 31st November 2016	

STATEMENT OF COMPLIANCE WITH LICENCE CONDITIONS

1. Were all conditions of license complied with within the reporting period? (please tick the appropriate box)
- Yes Please proceed to Section C
- No Please proceed to Section B

SECTION B

DETAILS OF NON-COMPLIANCE WITH LICENCE CONDITION.

Please use a separate page for each licence condition that was not complied with.

a) Licence condition not complied with?	
Section 2.4.2 - The standing water level at the Main Road Pit exceeded 4 m below crest level.	
b) Date(s) when the non compliance occurred, if applicable?	
c) Was this non compliance reported to DEC?	
Yes	<input type="checkbox"/> Reported to DEC verbally <input type="checkbox"/> Reported to DEC in writing Date _____
d) Has DEC taken, or finalised any action in relation to the non compliance?	
e) Summary of particulars of compliance non compliance, and what was the environmental impact?	
f) If relevant, the precise location where the non compliance occurred (attach map or diagram)	
g) Cause of non compliance	
h) Action taken or that will be taken to mitigate any adverse effects of the non compliance	
i) Action taken or that will be taken to prevent recurrence of the non compliance	

SECTION C

SIGNATURE AND CERTIFICATION

This Annual Audit Compliance Report may only be signed by a person(s) with legal authority to sign it. The ways in which the Annual Audit Compliance Report must be signed and certified, and the people who may sign the statement, are set out below.

Please tick the box next to the category that describes how this Annual Audit Compliance Report is being signed. If you are uncertain about who is entitled to sign or which category to tick, please contact the licensing officer for your premises.

If the licence holder is		The Annual Audit Compliance Report must be signed and certified:
An individual	<input type="checkbox"/> <input type="checkbox"/>	by the individual licence holder, or by a person approved in writing by the Chief Executive Officer of the Department of Environment and Conservation to sign on the licensee's behalf.
A firm or other unincorporated company	<input type="checkbox"/> <input type="checkbox"/>	by the principal executive officer of the licensee; or by a person with authority to sign on the licensee's behalf who is approved in writing by the Chief Executive Officer of the Department of Environment and Conservation.
A corporation	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>	by affixing the common seal of the licensee in accordance with the Corporations Act 2001; or by two directors of the licensee; or by a director and a company secretary of the licensee, or if the licensee is a proprietary company that has a sole director who is also the sole company secretary – by that director, or by the principal executive officer of the licensee; or by a person with authority to sign on the licensee's behalf who is approved in writing by the Chief Executive Officer of the Department of Environment and Conservation.
A public authority (other than a local government)	<input type="checkbox"/> <input type="checkbox"/>	by the principal executive officer of the licensee; or by a person with authority to sign on the licensee's behalf who is approved in writing by the Chief Executive Officer of the Department of Environment and Conservation.
a local government	<input type="checkbox"/> <input type="checkbox"/>	by the chief executive officer of the licensee; or by affixing the seal of the local government.

It is an offence under section 112 of the *Environmental Protection Act 1986* for a person to give information on this form that to their knowledge is false or misleading in a material particular. There is a maximum penalty of \$50,000 for an individual or body corporate.

I/We declare that the information in this annual audit compliance report is correct and not false or misleading in a material particular.

SIGNATURE: 

NAME:

(printed)

POSITION:

DATE:

MARK ZETNER
MANAGING DIRECTOR
23 / 12 / 2016

SIGNATURE: 

NAME:


(printed)

POSITION:

DATE:

Stephen Day
Approvals Manager
23 / 12 / 2016

Section F – Declaration

I/We declare that the information in this Annual Audit Compliance Report is true and correct and is not false or misleading in a material particular ¹ . I/We consent to the Annual Audit Compliance Report being published on the Department of Environment Regulation's (DER) website.			
Signature ² :		Signature:	
Name: (printed)	Stephen Day	Name: (printed)	
Position:	Approvals Manager	Position:	
Date:	09/01/2017	Date:	
Seal (if signing under seal):			

¹ It is an offence under section 112 of the *Environmental Protection Act 1986* for a person to give information on this form that to their knowledge is false or misleading in a material particular.

² AACRs can only be signed by the licence holder or an authorised person with the legal authority to sign on behalf of the licence holder.