

## 9 APPENDIX

### Appendix A – Annual Audit Compliance Report



Government of Western Australia  
Department of Water and Environmental Regulation

#### Annual Audit Compliance Report Form

*Environmental Protection Act 1986, Part V*

Once completed, please submit this form either via email to [info-der@dwer.wa.gov.au](mailto:info-der@dwer.wa.gov.au), or to the below postal address:

Department of Water and Environmental Regulation  
Locked Bag 33 Cloisters Square  
PERTH WA 6850

Section A – Licence Details			
Licence number:	L8574/2011/1	Licence file number:	KG310
Licence holder:	FMR Investments Pty Ltd		
Trading as:	As above		
ACN:	009411439		
Registered address:	Level 2, 2 Hardy Street, South Perth WA 6951		
Reporting period:	1 / April / 19 to 31 / March / 20		

  

Section B – Statement of Compliance with Licence Conditions	
Did you comply with all of your licence conditions during the reporting period? (please tick the appropriate box)	
<input type="checkbox"/> Yes – please complete: <ul style="list-style-type: none"> <li>• section C;</li> <li>• section D if required; and</li> <li>• sign the declaration in Section F.</li> </ul>	
<input checked="" type="checkbox"/> No – please complete: <ul style="list-style-type: none"> <li>• section C;</li> <li>• section D if required;</li> <li>• section E; and</li> <li>• sign the declaration at Section F.</li> </ul>	

  

Section C – Statement of Actual Production	
Provide the actual production quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed Premises Category	Actual Production Quantity
n/a – only licenced for mine dewatering	

  

Section D – Statement of Actual Part 2 Waste Discharge Quantity	
Provide the actual Part 2 waste discharge quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed Premises Category	Actual Part 2 Waste Discharge Quantity
6	9190 kL

## Department of Water and Environmental Regulation

Section E – Details of Non-Compliance with Licence Condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	3.3.1	Date(s) of non-compliance:	February 2020
Details of non-compliance:			
No monitoring of Total Suspended Solids (TSS) in Feb 2020			
What was the actual (or suspected) environmental impact of the non-compliance?			
<b>NOTE</b> – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.			
None suspected			
Cause (or suspected cause) of non-compliance:			
Certificate of Compliance codes amended by ALS and this was missed.			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
Changed the Certificate of Compliance sent to the lab for analysis so that TSS is included in future reporting with correct code.			
Was this non-compliance previously reported to DWER? No			
<input type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally		Date: / /	
<input type="checkbox"/> Reported to DWER in writing		Date: / /	

## Department of Water and Environmental Regulation

Section E – Details of Non-Compliance with Licence Condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	3.3.1	Date(s) of non-compliance:	July 2020
Details of non-compliance:			
Quarterly monitoring of water not conducted.			
What was the actual (or suspected) environmental impact of the non-compliance?			
<b>NOTE</b> – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.			
None suspected			
Cause (or suspected cause) of non-compliance:			
Inadvertent error.			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
Scheduled in reminders with Environmental Consultant to ensure monitoring is conducted.			
Was this non-compliance previously reported to DWER? No			
<input type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally		Date: / /	
<input type="checkbox"/> Reported to DWER in writing		Date: / /	

## Department of Water and Environmental Regulation

### Section F – Declaration

I / We declare that the information in this Annual Audit Compliance Report is true and correct and is not false or misleading in a material particular<sup>1</sup>.

I / We consent to the Annual Audit Compliance Report being published on the Department of Water and Environmental Regulation's (DWER) website.

Signature <sup>2</sup> :		Signature:	
Name: (printed)		Name: (printed)	
Position:	Mine Manager	Position:	
Date:	31/5/20	Date:	
Seal (if signing under seal):			

<sup>1</sup> It is an offence under section 112 of the *Environmental Protection Act 1986* for a person to give information on this form that to their knowledge is false or misleading in a material particular.

<sup>2</sup> AACRs can only be signed by the licence holder or an authorised person with the legal authority to sign on behalf of the licence holder.