



Annual Audit Compliance Report Form

Environmental Protection Act 1986, Part V

Once completed, please submit this form either via email to info-der@dwer.wa.gov.au, or to the below postal address:

Department of Water and Environmental Regulation
Locked Bag 33 Cloisters Square
PERTH WA 6850

Section A – Licence Details			
Licence number:	L4432/1989/14	Licence file number:	DER2014/000636-3-4
Licence holder:	Pilbara Ports Authority		
Trading as:	Pilbara Ports Authority		
ACN:	ABN 94987 448 870		
Registered address:	The Esplanade, Port Hedland WA 6721		
Reporting period:	01/ 07 / 2022 to 30 / 06 / 2023		

Section B – Statement of Compliance with Licence Conditions
Did you comply with all of your licence conditions during the reporting period? (please tick the appropriate box)
<input type="checkbox"/> Yes – please complete: <ul style="list-style-type: none">• section C;• section D if required; and• sign the declaration in Section F.
<input checked="" type="checkbox"/> No – please complete: <ul style="list-style-type: none">• section C;• section D if required;• section E; and• sign the declaration at Section F.

Section C – Statement of Actual Production	
Provide the actual production quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed Premises Category	Actual Production Quantity
58, 58A	1,356,295 tonnes

Section D – Statement of Actual Part 2 Waste Discharge Quantity	
Provide the actual Part 2 waste discharge quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed Premises Category	Actual Part 2 Waste Discharge Quantity
N/A	N/A



Section E – Details of Non-Compliance with Licence Condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	14b	Date(s) of non-compliance:	4 January 2023, 14 March 2023
Details of non-compliance:			
Representative moisture content for bulk spodumene concentrate vessels <i>Oriental Spirit</i> and <i>Irie Iris</i> were not obtained within the Licence required 10 calendar days of the completion of a shipment, they were obtained 13 days calendar days after the completion of shipment (<i>Oriental Spirit</i> sailed 22 December, received 4 January 2023. <i>Irie Iris</i> sailed 1 March 2023, received 14 March 2023).			
What was the actual (or suspected) environmental impact of the non-compliance?			
NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.			
Pilbara Ports Authority (PPA) considers the environmental impact of the non-compliance to be negligible and administrative in nature. The product moisture results for this shipment were above the DEM and lithium concentrations from dust samples collected from High Volume Air Samplers (HVAS) during ship-loading were less than the laboratory level of reporting (<0.001µg/m ³).			
Cause (or suspected cause) of non-compliance:			
The cause of the non-compliances was administrative, where the Premises User reviewed internally and failed to provide the representative moisture content to PPA within the required timeframe. There have been no further non-compliances of this nature.			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
The Premises User provided investigation reports and advised that their internal processes have been amended to ensure moisture certificates are forwarded directly to PPA upon receipt and review. This will ensure no further non-compliances of this nature will occur in future.			
Was this non-compliance previously reported to DWER?			
<input type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally	Date: / /		
<input type="checkbox"/> Reported to DWER in writing	Date:		



Section E – Details of Non-Compliance with Licence Condition

Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.

Condition no:	19	Date(s) of non-compliance:	21 September 2022, 26 December 2022
---------------	----	----------------------------	--

Details of non-compliance:

Samples not collected from HVAS:

Spodumene ship loading event:

- 21 September 2022 at M10 due to equipment fault (HVAS flow sensor fault).

1 in 6 events:

- 26 December 2022 at M10 and M11 due to human error (miscommunication on start date).

What was the actual (or suspected) environmental impact of the non-compliance?

NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.

There was no actual or suspected environmental impact of this non-compliance as the non-compliance relates to environmental monitoring events which does not increase the risk of emissions or discharges.

Cause (or suspected cause) of non-compliance:

The causes of these non-compliances were identified as:

- HVAS fault (21 September 2022)
- Human error (26 December 2022).

Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:

21 September 2022 – The monitor was recalibrated which resolved the flow sensor fault.

26 December 2022 - No mitigation available for rectifying fault. Follow-up samples were undertaken on 28 December 2022 for both HVAS locations to account for the missed sampling event.

Was this non-compliance previously reported to DWER?

Yes, and

Reported to DWER verbally

Date: / /

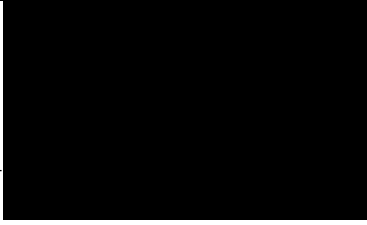
Reported to DWER in writing

Date:
2022/23 Q1 Dust Report 28 October 2022
2022/23 Q2 Dust Report 31 January 2023

Section F – Declaration

I / We declare that the information in this Annual Audit Compliance Report is true and correct and is not false or misleading in a material particular¹.

I / We consent to the Annual Audit Compliance Report being published on the Department of Water and Environmental Regulation’s (DWER) website.

Signature ² :		Signature:	
Name: (printed)		Name: (printed)	
Position:	Chief Executive Officer	Position:	
Date:	27 September 2023	Date:	
Seal (if signing under seal):			

¹ It is an offence under section 112 of the *Environmental Protection Act 1986* for a person to give information on this form that to their knowledge is false or misleading in a material particular.

² AACRs can only be signed by the licence holder or an authorised person with the legal authority to sign on behalf of the licence holder.