



## Annual Audit Compliance Report Form

*Environmental Protection Act 1986, Part V*

| Section A – Licence Details |                                 |                      |             |
|-----------------------------|---------------------------------|----------------------|-------------|
| Licence number:             | L4504/1981/17                   | Licence file number: | 2012/006423 |
| Licence holder:             | South32 Worsley Alumina Pty Ltd |                      |             |
| Trading as:                 | Worsley Alumina Pty Ltd         |                      |             |
| ACN:                        | 58008905155                     |                      |             |
| Registered address:         | Gastaldo Road, Collie           |                      |             |
| Reporting period:           | 01 /07 / 2020 to 30 / 06 /2021  |                      |             |

| Section B – Statement of Compliance with Licence Conditions   |
|---|
| Did you comply with all of your licence conditions during the reporting period?<br>(please tick the appropriate box)  |
| <input type="checkbox"/> Yes – please complete: <ul style="list-style-type: none"><li>• section C;</li><li>• section D if required; and</li><li>• sign the declaration in Section F.</li></ul>                                |
| <input checked="" type="checkbox"/> No – please complete: <ul style="list-style-type: none"><li>• section C;</li><li>• section D if required;</li><li>• section E; and</li><li>• sign the declaration at Section F.</li></ul> |

| Section C – Statement of Actual Production  |                            |
|---|----------------------------|
| Provide the actual production quantity for this reporting period. Supporting documentation is to be attached. |                            |
| Prescribed Premises Category  | Actual Production Quantity |
| Cat 46 (Bauxite Refining) 4.7 Mtpa  | 4.6 Mt (4.7 Mtpa)          |
| Cat 52 Electric power generation  | 216 MW (260 MW/year)       |

**Section D – Statement of Actual Part 2 Waste Discharge Quantity**

Provide the actual Part 2 waste discharge quantity for this reporting period. Supporting documentation is to be attached.

| <b>Prescribed Premises Category</b>   | <b>Actual Part 2 Waste Discharge Quantity</b> |
|---------------------------------------|---|
| Cat 53 Flyash                         | 105,000 tonnes (65,000 tonnes/annum)          |
| Cat 54 Sewage facility                | Unknown (260 m3/day)                          |
| Cat 61 Liquid waste facility          | N/A (100 tonnes/annum)                        |
| Cat 63 (Class 1 Landfill) <15,000 tpa | 12928 t (<15,000 tonnes/annum)                |
| Cat 89 Putrescible landfill site      | N/A (< 500 tonnes/annum)                      |

| Section E – Details of Non-Compliance with Licence Condition   |   |                            |                 |
|--|---|----------------------------|-----------------|
| Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.   |   |                            |                 |
| Condition no:  | 1.2.14, 1.2.15, 1.2.16, 1.2.17 and 1.2.18 | Date(s) of non-compliance: | 30 October 2020 |
| Details of non-compliance:   |   |                            |                 |
| The Construction Compliance Documentation for Solar Evaporation Pond 1 was required to be submitted prior to 30 October 2020 in accordance with Licence Condition 1.2.14, 1.2.15, 1.2.16, 1.2.17 and 1.2.18 and is yet to be received. |   |                            |                 |
| What was the actual (or suspected) environmental impact of the non-compliance?   |   |                            |                 |
| <b>NOTE</b> – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.  |   |                            |                 |
| Nil impact. Administration task only. An engineer’s report will be submitted retrospectively.  |   |                            |                 |
| Cause (or suspected cause) of non-compliance:  |   |                            |                 |
| Task was missed by Project Manager.  |   |                            |                 |
| Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:   |   |                            |                 |
| A new Legal Obligations Register has been produced which includes all existing licence conditions, due dates and responsibilities.   |   |                            |                 |
| Was this non-compliance previously reported to DWER? No  |   |                            |                 |
| <input type="checkbox"/> Yes, and  |   |                            |                 |
| <input type="checkbox"/> Reported to DWER verbally   |   | Date: / /                  |                 |
| <input type="checkbox"/> Reported to DWER in writing   |   | Date: / /                  |                 |

| Section E – Details of Non-Compliance with Licence Condition   |        |                            |                   |
|--|--------|----------------------------|-------------------|
| Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.               |        |                            |                   |
| Condition no:  | 1.2.11 | Date(s) of non-compliance: | 30 September 2020 |
| Details of non-compliance:   |        |                            |                   |
| The Construction Compliance documentation for Water Body 1 was not submitted within 30 days following construction as required by condition 1.2.11 |        |                            |                   |
| What was the actual (or suspected) environmental impact of the non-compliance?   |        |                            |                   |
| <b>NOTE</b> – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.                  |        |                            |                   |
| Nil impact. Administration task only.  |        |                            |                   |
| Cause (or suspected cause) of non-compliance:  |        |                            |                   |
| Task was missed by Project Manager.  |        |                            |                   |
| Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:                                       |        |                            |                   |
| A new Legal Obligations Register has been produced which includes all existing licence conditions, due dates and responsibilities.                 |        |                            |                   |
| Was this non-compliance previously reported to DWER? No  |        |                            |                   |
| <input type="checkbox"/> Yes, and  |        |                            |                   |
| <input type="checkbox"/> Reported to DWER verbally   |        | Date: / /                  |                   |
| <input type="checkbox"/> Reported to DWER in writing   |        | Date: / /                  |                   |

**Section F – Declaration**

I/We declare that the information in this Annual Audit Compliance Report is true and correct and is not false or misleading in a material particular<sup>1</sup>. I/We consent to the Annual Audit Compliance Report being published on the Department of Water and Environmental Regulation’s (DWER) website.

|                               |  |                 |                          |
|-------------------------------|--|-----------------|--------------------------|
| Signature <sup>2</sup> :      |  | Signature:      |                          |
| Name: (printed)               |  | Name: (printed) | Craig Kimpton            |
| Position:                     |  | Position:       | Environmental Supervisor |
| Date:                         |  | Date:           | 08/11/2021               |
| Seal (if signing under seal): |  |                 |                          |

<sup>1</sup> It is an offence under section 112 of the *Environmental Protection Act 1986* for a person to give information on this form that to their knowledge is false or misleading in a material particular.

<sup>2</sup> AACRs can only be signed by the licence holder or an authorised person with the legal authority to sign on behalf of the licence holder.