



Annual Audit Compliance Report Form

Environmental Protection Act 1986, Part V Division 3

Once completed, please submit this form either via email to info@dwer.wa.gov.au, or to the below postal address:

Department of Water and Environmental Regulation
Locked Bag 10
Joondalup DC WA 6919

Section A – Licence details			
Licence number:	L5099/1974/14	Licence file number:	2010/007474
Licence holder name:	Southern Ports Authority		
Trading as:	Southern Ports Authority		
ACN:	ABN: 30 044 341 250		
Registered business address:	Level 4, 679 Murray Street, West Perth WA 6005		
Reporting period:	01/10/2022 to 30/09/2023		

Section B – Statement of compliance with licence conditions
Did you comply with all of your licence conditions during the reporting period? (please tick the appropriate box)
<input type="checkbox"/> Yes – please complete: <ul style="list-style-type: none">section C;section D (if required); andsign the declaration in Section F.
<input checked="" type="checkbox"/> No – please complete: <ul style="list-style-type: none">section C;section D (if required);section E; andsign the declaration in Section F.

Section C – Statement of actual production	
Provide the actual production quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed premises category	Actual production quantity
58	9.3 million tonnes

Section D – Statement of actual Part 2 waste discharge quantity	
Provide the actual Part 2 waste discharge quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed premises category	Actual Part 2 waste discharge quantity
58	Not Applicable

Section E – Details of non-compliance with licence condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	19b	Date(s) of non-compliance:	14/11/2022, 02/01/2023, 09/01/2023, 23/01/2023, 23/02/2023, 30/03/2023, 06/04/2023, 09/04/2023, 16/04/2023, 27/04/2023, 08/06/2023 and 04/08/2023.
Details of non-compliance:			
Spodumene product received at premises above 5% by weight mica content.			
What was the actual (or suspected) environmental impact of the non-compliance? NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.			
Nil impact due to enclosed circuit and product shiploaded below 5% mica. Refer to Annual Environmental Quality Report 2022-2023 (Section 4.1.2) for further details.			
Cause (or suspected cause) of non-compliance:			
Minesite product quality management processes.			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
Southern Ports have revised its <i>Bulk Mineral Product Quality Management Procedure</i> to include a requirement of Product Quality Management Plans from bulk mineral clients.			
Was this non-compliance previously reported to DWER?			
<input checked="" type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally		Date: / /	
<input checked="" type="checkbox"/> Reported to DWER in writing		Date: 18 /12/2023 (within Annual Environmental Quality Report)	

Section E – Details of non-compliance with licence condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	23	Date(s) of non-compliance:	3/05/2023, 04/05/2023, 09/05/2023, 10/05/2023, 11/05/2023, 15/05/2023, 20/05/2023, 21/05/2023, 24/05/2023, 29/05/2023 and 31/05/2023.
Details of non-compliance:			
Iron ore product received at premises below DEM.			
What was the actual (or suspected) environmental impact of the non-compliance? NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.			
Nil impact due to enclosed circuit and all vessels shiploaded above DEM during this month. Refer to Annual Environmental Quality Report 2022-2023 (Section 4.1.3) for further details.			
Cause (or suspected cause) of non-compliance:			
Minesite product quality management processes.			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
Southern Ports have revised its <i>Bulk Mineral Product Quality Management Procedure</i> to include a requirement of Product Quality Management Plans from bulk mineral clients.			
Was this non-compliance previously reported to DWER?			
<input checked="" type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally		Date: / /	
<input checked="" type="checkbox"/> Reported to DWER in writing		Date: 18/12/2023 (within Annual Environmental Quality Report)	

Section F – Declaration

I / We declare that the information in this Annual Audit Compliance Report is true and correct and is not false or misleading in a material particular¹.

I / We consent to the Annual Audit Compliance Report being published on the Department of Water and Environmental Regulation’s (DWER) website.

Signature ² :		Signature:	
Name: (printed)		Name: (printed)	
Position:		Position:	
Date:		08/01/2024	Date:
Seal (if signing under seal):			

¹ It is an offence under section 112 of the *Environmental Protection Act 1986* for a person to give information on this form that to their knowledge is false or misleading in a material particular.

² AACRs can only be signed by the licence holder or an authorised person with the legal authority to sign on behalf of the licence holder.