



## Annual Audit Compliance Report Form

*Environmental Protection Act 1986, Part V*

Once completed, please submit this form either via email to [info-der@dwer.wa.gov.au](mailto:info-der@dwer.wa.gov.au), or to the below postal address:

Department of Water and Environmental Regulation  
Locked Bag 33 Cloisters Square  
PERTH WA 6850

Section A – Licence Details			
Licence number:	L5245/1967/14	Licence file number:	2010/007402-03
Licence holder:	Alcoa of Australia Limited		
Trading as:			
ACN:	004879298		
Registered address:	Cnr Davey St and Marmion St Booragoon WA 6154		
Reporting period:	01 / 01 / 2018 to 31 / 12 / 2018		

Section B – Statement of Compliance with Licence Conditions
Did you comply with all of your licence conditions during the reporting period? (please tick the appropriate box)
<input type="checkbox"/> Yes – please complete: <ul style="list-style-type: none"> <li>• section C;</li> <li>• section D if required; and</li> <li>• sign the declaration in Section F.</li> </ul>
<input checked="" type="checkbox"/> No – please complete: <ul style="list-style-type: none"> <li>• section C;</li> <li>• section D if required;</li> <li>• section E; and</li> <li>• sign the declaration at Section F.</li> </ul>

Section C – Statement of Actual Production	
Provide the actual production quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed Premises Category	Actual Production Quantity
Category 5: Processing or beneficiation of metallic or non metallic ore	1,386,655 dry tonnes (Residue Filtration) 0 tonnes (Red Sand plant)
Category 46: Bauxite Refining	[REDACTED]
Category 52: Electric power generation	57.5 MW
Category 58: Bulk material loading or unloading	[REDACTED]
Category 64: Class II or III putrescible landfill site	448.15 tonnes
Category 67: Fuel Burning	0 tonnes

**Section D – Statement of Actual Part 2 Waste Discharge Quantity**

Provide the actual Part 2 waste discharge quantity for this reporting period. Supporting documentation is to be attached.

Prescribed Premises Category	Actual Part 2 Waste Discharge Quantity
Category 5: Processing or beneficiation of metallic or non metallic ore	1,386,655 dry tonnes
Category 46: Bauxite Refining	2,276,623 tonnes
Category 52: Electric power generation	0 MW
Category 58: Bulk material loading or unloading	0 tonnes
Category 64: Class II or III putrescible landfill site	448.15 tonnes
Category 67: Fuel Burning	0 tonnes

**Section E – Details of Non-Compliance with Licence Condition**

Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.

Condition no:	Condition A21(ii)	Date(s) of non-compliance:	1 – 5 September 2018
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Details of non-compliance:

It was identified that that the Number 12 Dust Collector, last rebagged in May 2017 overrun the 15 calendar months period for rebagging. The Number 12 Dust Collector was removed from service on 5 September 2018 for rebagging, which was completed on 6 September 2018.

What was the actual (or suspected) environmental impact of the non-compliance?

**NOTE** – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.

Alcoa does not believe that rebagging Number 12 Dust Collector shortly after the 15-month period caused any serious environmental harm or material environmental harm.

Cause (or suspected cause) of non-compliance:

Deferral of the scheduled shipping break, resulted in the Number 12 Dust Collector not being rebagged within the prescribed 15 calendar month period.

Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:

The Number 12 Dust Collector was removed from service on 5 September 2018 for rebagging, which was completed on 6 September 2018.

Internal planning controls have been reviewed and an additional verification check has been put in place to confirm the rebagging is completed within the prescribed period.

Was this non-compliance previously reported to DWER?

Yes, and

Section E – Details of Non-Compliance with Licence Condition	
<input type="checkbox"/> Reported to DWER verbally	Date:
<input checked="" type="checkbox"/> Reported to DWER in writing	Date: 05 / 10 / 2018

**Section F – Declaration**

I / We declare that the information in this Annual Audit Compliance Report is true and correct and is not false or misleading in a material particular<sup>1</sup>.

Subject to the commercially sensitive information being redacted, I / We consent to the Annual Audit Compliance Report being published on the Department of Water and Environmental Regulation's (DWER) website.

Signature <sup>2</sup> :	[Redacted]	Signature:	[Redacted]
Name: (printed)	[Redacted]	Name: (printed)	[Redacted]
Position:	Company Secretary	Position:	Director of Finance
Date:	27/3/19	Date:	27/3/19
Seal (if signing under seal):			

<sup>1</sup> It is an offence under section 112 of the *Environmental Protection Act 1986* for a person to give information on this form that to their knowledge is false or misleading in a material particular.

<sup>2</sup> AACRs can only be signed by the licence holder or an authorised person with the legal authority to sign on behalf of the licence holder.