



## Annual Audit Compliance Report Form

*Environmental Protection Act 1986, Part V Division 3*

Once completed, please submit this form either via email to [info@dwer.wa.gov.au](mailto:info@dwer.wa.gov.au), or to the below postal address:

Department of Water and Environmental Regulation  
Locked Bag 10  
Joondalup DC WA 6919

### Section A – Licence details

Licence number:	L7416/1999/9	Licence file number:	2011/007857
Licence holder name:	3 Oceans Wines Ltd (3 oceans)		
Trading as:			
ACN:			
Registered business address:			
Reporting period:	01 /07 /2019 to 30 /06 /2020		

### Section B – Statement of compliance with licence conditions

Did you comply with all of your licence conditions during the reporting period?  
(please tick the appropriate box)

- Yes – please complete:
- section C;
  - section D (if required); and
  - sign the declaration in Section F.
- No – please complete:
- section C;
  - section D (if required);
  - section E; and
  - sign the declaration in Section F.

### Section C – Statement of actual production

Provide the actual production quantity for this reporting period. Supporting documentation is to be attached.

Prescribed premises category	Actual production quantity
25	888

### Section D – Statement of actual Part 2 waste discharge quantity

Provide the actual Part 2 waste discharge quantity for this reporting period. Supporting documentation is to be attached.

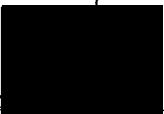
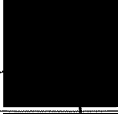

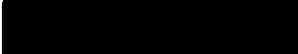
Prescribed premises category	Actual Part 2 waste discharge quantity
25	NA

<b>Section E – Details of non-compliance with licence condition</b>	
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.	
Condition no:	5.2.1
Date(s) of non-compliance:	
Details of non-compliance:	
Late Submission	
What was the actual (or suspected) environmental impact of the non-compliance? <b>NOTE</b> – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.	
Cause (or suspected cause) of non-compliance:	
The staff who collect all related information of this report just came back from annual leave.	
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:	
Was this non-compliance previously reported to DWER?	
<input type="checkbox"/> Yes, and	
<input type="checkbox"/> Reported to DWER verbally	Date: / /
<input type="checkbox"/> Reported to DWER in writing	Date: 02/ 11 / 2020

**Section F – Declaration**

I / We declare that the information in this Annual Audit Compliance Report is true and correct and is not false or misleading in a material particular<sup>1</sup>.

I / We consent to the Annual Audit Compliance Report being published on the Department of Water and Environmental Regulation's (DWER) website.

Signature <sup>2</sup> :		Signature:	
Name: (printed)		Name: (printed)	
Position:	<i>Winery Manager</i>	Position:	<i>Winery Manager</i>
Date:	<i>19/11/20</i>	Date:	<i>19/11/20</i>
Seal (if signing under seal):			

<sup>1</sup> It is an offence under section 112 of the *Environmental Protection Act 1986* for a person to give information on this form that to their knowledge is false or misleading in a material particular.

<sup>2</sup> AACRs can only be signed by the licence holder or an authorised person with the legal authority to sign on behalf of the licence holder.