



Annual Audit Compliance Report Form

Environmental Protection Act 1986, Part V Division 3

Once completed, please submit this form either via email to info@dwer.wa.gov.au, or to the below postal address:

Department of Water and Environmental Regulation
Locked Bag 10
Joondalup DC WA 6919

Section A – Licence details			
Licence number:	L8249/2008/2	Licence file number:	2011/005901
Licence holder name:	Focus Operations Pty Ltd		
Trading as:	Focus Minerals Ltd		
ACN:	115 821 255		
Registered business address:	Level 2, 159 Adelaide Terrace, EAST PERTH, WA 6004		
Reporting period:	01 / 07 / 2020 to 30 / 06 / 2021		

Section B – Statement of compliance with licence conditions
Did you comply with all of your licence conditions during the reporting period? (please tick the appropriate box)
<input type="checkbox"/> Yes – please complete: <ul style="list-style-type: none">• section C;• section D (if required); and• sign the declaration in Section F.
<input checked="" type="checkbox"/> No – please complete: <ul style="list-style-type: none">• section C;• section D (if required);• section E; and• sign the declaration in Section F.

Section C – Statement of actual production	
Provide the actual production quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed premises category	Actual production quantity
05 Processing or Beneficiation of Metallic or non-metallic ore	No ore was processed in 2020/2021 as the site remains in Care and Maintenance.
06 Mine Dewatering	No mine dewatering was undertaken on 2020/2021
89 Putrescible Landfill Site	

Section D – Statement of actual Part 2 waste discharge quantity	
Provide the actual Part 2 waste discharge quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed premises category	Actual Part 2 waste discharge quantity
<ul style="list-style-type: none"> • Water to allow mining and processing of Ore • Tailings 	No discharge occurred during the 2020/21 reporting period


Section E – Details of non-compliance with licence condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	3.8.1	Date(s) of non-compliance:	Aug 20, Nov 20, Feb 21 and May 21
Details of non-compliance:			
<p>There were four sampling points that were not fully monitored during 2020/21, due to the below reasons.</p> <p>Seep 6 – Bore destroyed by neighbouring company during tenement transfer. This bore has recently been redrilled in August 2021. Monitoring has resumed for the 2021/2022 reporting period.</p> <p>Seep 9 – Bore destroyed by neighbouring company during tenement transfer. This bore has recently been redrilled in August 2021. Monitoring has resumed for the 2021/2022 reporting period.</p> <p>GAM 8 – Bore is blocked therefore unable to be monitored.</p> <p>GAM 12 – Bore was dry.</p>			
What was the actual (or suspected) environmental impact of the non-compliance?			
<p>NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.</p>			
<p>There was no adverse environmental impacts as a result of the above non-compliance. The non-compliance occurred because the bores being destroyed/inaccessible/dry.</p>			
Cause (or suspected cause) of non-compliance:			
<p>The Seep6 and Seep9 damage was caused by a lack of communication by the neighbouring company and actions close to Focus tenure.</p> <p>The dry/blocked bores could be a result of the site being in Care and Maintenance. Some bores have been dry for a number of years.</p>			

Section E – Details of non-compliance with licence condition	
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:	
<p>Legal agreements are now in place between Focus and the neighbouring company in relation to access to Focus tenements.</p> <p>SEEP6 and SEEP9 were recently redrilled in August and monitoring has resumed from these bores to ensure compliance with licence requirements.</p>	
Was this non-compliance previously reported to DWER? N/A	
<input type="checkbox"/> Yes, and	
<input type="checkbox"/> Reported to DWER verbally	Date: / /
<input type="checkbox"/> Reported to DWER in writing	Date: / /

Section F – Declaration

I / We declare that the information in this Annual Audit Compliance Report is true and correct and is not false or misleading in a material particular¹.

I / We consent to the Annual Audit Compliance Report being published on the Department of Water and Environmental Regulation’s (DWER) website.

Signature ² :		Signature:	
Name: (printed)		Name: (printed)	
Position:		Position:	
Date:		Date:	
Seal (if signing under seal):			

¹ It is an offence under section 112 of the *Environmental Protection Act 1986* for a person to give information on this form that to their knowledge is false or misleading in a material particular.

² AACRs can only be signed by the licence holder or an authorised person with the legal authority to sign on behalf of the licence holder.