



Annual Audit Compliance Report Form

Environmental Protection Act 1986, Part V Division 3

Once completed, please submit this form either via email to info@dwer.wa.gov.au, or to the below postal address:

Department of Water and Environmental Regulation
Locked Bag 10
Joondalup DC WA 6919

Section A – Licence details			
Licence number:	L8275/2008/2	Licence file number:	2012/006908
Licence holder name:	Rox (Murchison) Pty Ltd		
Trading as:	Rox Resources		
ACN:	633 617 455		
Registered business address:	Level 1, 34 Colin Street, West Perth WA 6005		
Reporting period:	01 / 11 / 2021 to 31 / 10 / 2022		

Section B – Statement of compliance with licence conditions
Did you comply with all of your licence conditions during the reporting period? (please tick the appropriate box)
<input type="checkbox"/> Yes – please complete: <ul style="list-style-type: none">section C;section D (if required); andsign the declaration in Section F.
<input checked="" type="checkbox"/> No – please complete: <ul style="list-style-type: none">section C;section D (if required);section E; andsign the declaration in Section F.

Section C – Statement of actual production	
Provide the actual production quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed premises category	Actual production quantity
Category 6	0

Section D – Statement of actual Part 2 waste discharge quantity	
Provide the actual Part 2 waste discharge quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed premises category	Actual Part 2 waste discharge quantity
Category 63	200 tonnes

Section E – Details of Non-Compliance with Licence Condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	14	Date(s) of non-compliance:	4 th Quarter 2021
Details of non-compliance:			
Condition 14 states that 'The licence holder must undertake the monitoring in Table 9 according to the specifications in that table and record and investigate results that exceed limits specified'. SWL Monitoring was done for all bores for all quarters; Water quality monitoring was conducted and analysed for the parameters specified for the Evap. Pond bores during June and Sept. 2022 (2 nd and 3 rd quarter 2022). Not all bores were sampled during 1 st quarter 2022 and quality monitoring was not conducted during the 4th quarter of 2021. Data is included in this AER for this period from the preceding quarter (Sept 2021).			
What was the actual (or suspected) environmental impact of the non-compliance? NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.			
Nil			
Cause (or suspected cause) of non-compliance:			
Unknown			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
Water monitoring program and procedure has been developed - attached to this AER for reference as Appendix 2.			
Was this non-compliance previously reported to DWER?			
X No			
<input type="checkbox"/> Reported to DWER verbally		Date: / /	
Reported to DWER in writing		Date:	

Section E – Details of Non-Compliance with Licence Condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	15	Date(s) of non-compliance:	3 rd & 4 th Qtr 2022
Details of non-compliance:			
Condition 15 requires “The Licence Holder must undertake photo monitoring in Table 10 according to the specifications in that table and record and investigate results’, which is quarterly from 6 points surrounding the Evaporation ponds. Photo Monitoring has not recommenced since the licence was amended (to include this condition in July 2022).			
What was the actual (or suspected) environmental impact of the non-compliance?			
NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.			
Nil			
Cause (or suspected cause) of non-compliance:			
The commitment to resume photo monitoring was made to assist management of potential impacts of dewatering discharge to the (refurbished) evaporation ponds. Evaporation pond refurbishment (new conditions also) has not been completed to date, nor dewatering (or associated discharge) commenced. Setting up of monitoring points and commencement of photo monitoring was planned to be included in the refurbishment program.			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
Include Photo Monitoring of Vegetation Quality (at the Evaporation Ponds) in the Water monitoring program and procedure, with the adjusted title of ‘Youanmi Monitoring Program and Procedure’.			
Was this non-compliance previously reported to DWER?			
X No			
<input type="checkbox"/> Reported to DWER verbally		Date: / /	
Reported to DWER in writing		Date:	

Section F – Declaration

I / We declare that the information in this Annual Audit Compliance Report is true and correct and is not false or misleading in a material particular¹.

I / We consent to the Annual Audit Compliance Report being published on the Department of Water and Environmental Regulation’s (DWER) website.

Signature ² :		Signature:	
Name: (printed)		Name: (printed)	
Position:	Managing Director and CEO	Position:	
Date:	23 - 12 - 2022	Date:	
Seal (if signing under seal):			

¹ It is an offence under section 112 of the *Environmental Protection Act 1986* for a person to give information on this form that to their knowledge is false or misleading in a material particular.

² AACRs can only be signed by the licence holder or an authorised person with the legal authority to sign on behalf of the licence holder.