



Annual Audit Compliance Report Form

Environmental Protection Act 1986, Part V Division 3

Once completed, please submit this form either via email to info@dwer.wa.gov.au, or to the below postal address:

Department of Water and Environmental Regulation
Locked Bag 10
Joondalup DC WA 6919

Section A – Licence details			
Licence number:	L8358/2009/2	Licence file number:	2010/010248
Licence holder name:	Northern Star (Echo Mining) Pty Ltd		
Trading as:	Northern Star Resources Limited		
ACN:	120 974 567		
Registered business address:	Level 4, 500 Hay Street SUBIACO WA 6008		
Reporting period:	1/1/2022 to 31/21/2022		

Section B – Statement of compliance with licence conditions
Did you comply with all of your licence conditions during the reporting period? (please tick the appropriate box)
<input type="checkbox"/> Yes – please complete: <ul style="list-style-type: none">section C;section D (if required); andsign the declaration in Section F.
<input checked="" type="checkbox"/> No – please complete: <ul style="list-style-type: none">section C;section D (if required);section E; andsign the declaration in Section F.

Section C – Statement of actual production	
Provide the actual production quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed premises category	Actual production quantity
5- Processing	0.00 tonnes

Section D – Statement of actual Part 2 waste discharge quantity	
Provide the actual Part 2 waste discharge quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed premises category	Actual Part 2 waste discharge quantity
6 – Mine dewatering	100,000 tonnes


Section E – Details of non-compliance with licence condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	4	Date(s) of non-compliance:	Mar, Jun, Sept & Dec 2022
Details of non-compliance:			
<p>Standing water level measurements for monitoring bores were not reported in mbtoc not in mbgl Quarterly field pH measurements were not undertaken in Q1 and Q2. Quarterly laboratory water quality analysis was not undertaken in Q1, Q2 and Q3. Quarterly laboratory water quality analysis was conducted in Q4,</p>			
What was the actual (or suspected) environmental impact of the non-compliance?			
<p>NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.</p>			
Nil.			
Cause (or suspected cause) of non-compliance:			
<p>The operational monitoring requirements relating to the Part V Licence was transferred to a another Environmental Site Department during the first quarter of the reporting period (2022). This resulted in monitoring omissions due to a loss of staff during the period, COVID-19 impacts and not being able to secure the contractor that completed the monitoring during the previous reporting periods as a result of Covid impacts. Further the monitoring gaps weren't clearly identified due to a lag in incorporating systems.</p>			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
<p>Northern Star has identified the gaps. A full time Environmental Advisor is now employed at the its Bronzewing operations. NSR has also received improvement recommendations from a consultant. Additionally NSR recently implemented an obligations tracking system within its company wide INX workforce management and business process software solution. These systems will assist in assuring completeness of monitoring events and appropriate QAQC followup and close out of tracked monitoring events and requirements.</p>			
Was this non-compliance previously reported to DWER?			
<input checked="" type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally		Date: / /	
<input checked="" type="checkbox"/> Reported to DWER in writing		Date: 28/2/23	

Section E – Details of non-compliance with licence condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	9	Date(s) of non-compliance:	Mar, Jun, Sept & Dec 2022
Details of non-compliance:			
Condition 9. Mine Dewatering Monitoring Requirements Table 7			
What was the actual (or suspected) environmental impact of the non-compliance?			
NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.			
Nil			
Cause (or suspected cause) of non-compliance:			
The operational monitoring requirements relating to the Part V Licence was transferred to a another Environmental Site Department during the first quarter of the reporting period (2022). This resulted in monitoring omissions due to a loss of staff during the period, COVID-19 impacts and not being able to secure the contractor that completed the monitoring during the previous reporting periods as a result of Covid impacts. Further the monitoring gaps weren't clearly identified due to a lag in incorporating systems.			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
Northern Star has identified the gaps. A full time Environmental Advisor is now employed at the its Bronzewing operations. NSR has also received improvement recommendations from a consultant. Additionally NSR recently implemented an obligations tracking system within its company wide INX workforce management and business process software solution. These systems will assist in assuring completeness of monitoring events and appropriate QAQC followup and close out of tracked monitoring events and requirements.			
Was this non-compliance previously reported to DWER?			
<input checked="" type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally		Date: / /	
<input checked="" type="checkbox"/> Reported to DWER in writing		Date: 28/2/23	

Section F – Declaration

I / We declare that the information in this Annual Audit Compliance Report is true and correct and is not false or misleading in a material particular¹.

I / We consent to the Annual Audit Compliance Report being published on the Department of Water and Environmental Regulation's (DWER) website.

Signature ² :		Signature:	
Name: (printed)		Name: (printed)	
Position:		Position:	
Date:		28/02/2022	Date:
Seal (if signing under seal):			

¹ It is an offence under section 112 of the *Environmental Protection Act 1986* for a person to give information on this form that to their knowledge is false or misleading in a material particular.

² AACRs can only be signed by the licence holder or an authorised person with the legal authority to sign on behalf of the licence holder.