



Annual Audit Compliance Report Form

Environmental Protection Act 1986, Part V

| Section A – Licence Details | | | |
|-----------------------------|--|----------------------|--------|
| Licence number: | L8404/2009/2 | Licence file number: | DEC232 |
| Licence holder: | Bradken Resources PTY LTD | | |
| Trading as: | Bradken Resources PTY LTD | | |
| ACN: | 108 693 009 | | |
| Registered address: | 20 McIntosh Drive, Mayfield West, NSW 2304 | | |
| Reporting period: | 01 / 12 / 2018 to 30 / 11 / 2019 | | |


| Section B – Statement of Compliance with Licence Conditions |
|--|
| Did you comply with all of your licence conditions during the reporting period? (please tick the appropriate box) |
| <input checked="" type="checkbox"/> Yes – please complete: <ul style="list-style-type: none">• section C;• section D if required; and• sign the declaration in Section F. |
| <input type="checkbox"/> No – please complete: <ul style="list-style-type: none">• section C;• section D if required;• section E; and• sign the declaration at Section F. |

| Section C – Statement of Actual Production | |
|---|-----------------------------------|
| Provide the actual production quantity for this reporting period. Supporting documentation is to be attached. | |
| Prescribed Premises Category | Actual Production Quantity |
| Category 45: Metal Melting or Casting | 3,312 – Tonnes |

| Section D – Statement of Actual Part 2 Waste Discharge Quantity | |
|---|---|
| Provide the actual Part 2 waste discharge quantity for this reporting period. Supporting documentation is to be attached. | |
| Prescribed Premises Category | Actual Part 2 Waste Discharge Quantity |
| | |

| Section E – Details of Non-Compliance with Licence Condition | |
|--|----------------------------|
| Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period. | |
| Condition no: | Date(s) of non-compliance: |
| Details of non-compliance: | |
| | |
| What was the actual (or suspected) environmental impact of the non-compliance? | |
| NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place. | |
| | |
| Cause (or suspected cause) of non-compliance: | |
| | |
| Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance: | |
| | |
| Was this non-compliance previously reported to DER? | |
| <input type="checkbox"/> Yes, and | |
| <input type="checkbox"/> Reported to DER verbally | Date: / / |
| <input type="checkbox"/> Reported to DER in writing | Date: / / |

Section F – Declaration

| | | | |
|---|---|-----------------|--|
| I/We declare that the information in this Annual Audit Compliance Report is true and correct and is not false or misleading in a material particular ¹ . I/We consent to the Annual Audit Compliance Report being published on the Department of Environment Regulation's (DER) website. | | | |
| Signature ² : |  | Signature: | |
| Name: (printed) | Kevin Jordan | Name: (printed) | |
| Position: | Manufacturing Manager | Position: | |
| Date: | 03/12/2019 | Date: | |
| Seal (if signing under seal): | | | |

¹ It is an offence under section 112 of the *Environmental Protection Act 1986* for a person to give information on this form that to their knowledge is false or misleading in a material particular.

² AACRs can only be signed by the licence holder or an authorised person with the legal authority to sign on behalf of the licence holder.