



## Annual Audit Compliance Report Form

*Environmental Protection Act 1986, Part V Division 3*

Once completed, please submit this form either via email to [info@dwer.wa.gov.au](mailto:info@dwer.wa.gov.au), or to the below postal address:

Department of Water and Environmental Regulation  
Locked Bag 10  
Joondalup DC WA 6919

Section A – Licence details			
Licence number:	L8490/2010/2	Licence file number:	2012/006872
Licence holder name:	Focus Minerals (Laverton) Pty Ltd		
Trading as:	Focus Minerals Ltd		
ACN:	087 360 996		
Registered business address:	Level 2, 159 Adelaide Terrace, East Perth, WA 6004		
Reporting period:	01 / 07 / 2020 to 30 / 06 / 2021		

Section B – Statement of compliance with licence conditions
Did you comply with all of your licence conditions during the reporting period? (please tick the appropriate box)
<input type="checkbox"/> Yes – please complete: <ul style="list-style-type: none"><li>• section C;</li><li>• section D (if required); and</li><li>• sign the declaration in Section F.</li></ul>
<input checked="" type="checkbox"/> No – please complete: <ul style="list-style-type: none"><li>• section C;</li><li>• section D (if required);</li><li>• section E; and</li><li>• sign the declaration in Section F.</li></ul>

Section C – Statement of actual production	
Provide the actual production quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed premises category	Actual production quantity
<b>Category 5:</b> Processing and beneficiation of metallic and non-metallic ore	No ore was processed during 2020/21 reporting period as the site remains on Care and Maintenance

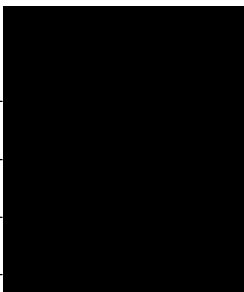
Section D – Statement of actual Part 2 waste discharge quantity	
Provide the actual Part 2 waste discharge quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed premises category	Actual Part 2 waste discharge quantity
Tailings	No discharges occurred during the 2020/21 reporting period.

Section E – Details of non-compliance with licence condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	2.2.1	Date(s) of non-compliance:	Jul 2020, January 2021
Details of non-compliance:			
<p>The non-compliance of Condition 2.2.1 during this monitoring period is detailed below:</p> <p><b>MB1</b> – Bore was dry during the monitoring period.  <b>MB2</b> – Bore was dry during the monitoring period.  <b>MB4</b> – Bore was dry during the monitoring period.  <b>MB5A</b> – Only field pH and TDS readings were recorded in Jul 2020 as the lab sample bottle broke in transit.</p>			
What was the actual (or suspected) environmental impact of the non-compliance?			
<p><b>NOTE</b> – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.</p> <p>There were no environmental impact resulting from the non-compliance detailed above. The non-compliances for bores MB1,2 and 4 occurred due to insufficient water in the bores for sampling or bores being dry. The non-compliance for MB5A occurred due to a water sample bottle splitting during transit to the lab.</p>			
Cause (or suspected cause) of non-compliance:			
<p>Site has been in Care and Maintenance since June 2013, some bores have been dry for a number of years.</p> <p>Bottle incorrectly packed by water sampling contractor.</p>			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
Focus will continue groundwater sampling at all in-pit TSF bores in compliance with Condition 2.2.1 of the Licence.			
Was this non-compliance previously reported to DWER?			
<input type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally		Date: / /	
<input type="checkbox"/> Reported to DWER in writing		Date: / /	

**Section F – Declaration**

I / We declare that the information in this Annual Audit Compliance Report is true and correct and is not false or misleading in a material particular<sup>1</sup>.

I / We consent to the Annual Audit Compliance Report being published on the Department of Water and Environmental Regulation’s (DWER) website.

Signature <sup>2</sup> :		Signature:	
Name: (printed)		Name: (printed)	
Position:		Position:	
Date:		Date:	
Seal (if signing under seal):			

<sup>1</sup> It is an offence under section 112 of the *Environmental Protection Act 1986* for a person to give information on this form that to their knowledge is false or misleading in a material particular.

<sup>2</sup> AACRs can only be signed by the licence holder or an authorised person with the legal authority to sign on behalf of the licence holder.