



Annual Audit Compliance Report Form

Environmental Protection Act 1986, Part V Division 3

Once completed, please submit this form either via email to info@dwer.wa.gov.au, or to the below postal address:

Department of Water and Environmental Regulation
Locked Bag 10
Joondalup DC WA 6919

| Section A – Licence details | | | |
|------------------------------|--|----------------------|---------------|
| Licence number: | L8501/2010/2 | Licence file number: | 2012/007163-1 |
| Licence holder name: | Global Advanced Metals Greenbushes Pty Ltd | | |
| Trading as: | | | |
| ACN: | 125 585 284 | | |
| Registered business address: | Level 3, Centrepoint Tower 123B Colin Street WEST PERTH, WA 6005 | | |
| Reporting period: | 01/07/2020 to 30/06/2021 | | |

| Section B – Statement of compliance with licence conditions |
|---|
| Did you comply with all of your licence conditions during the reporting period? (please tick the appropriate box) |
| <input type="checkbox"/> Yes – please complete: <ul style="list-style-type: none">• section C;• section D (if required); and• sign the declaration in Section F. |
| <input checked="" type="checkbox"/> No – please complete: <ul style="list-style-type: none">• section C;• section D (if required);• section E; and• sign the declaration in Section F. |

| Section C – Statement of actual production | |
|---|----------------------------|
| Provide the actual production quantity for this reporting period. Supporting documentation is to be attached. | |
| Prescribed premises category | Actual production quantity |
| 44 | 623 tonnes |

| Section D – Statement of actual Part 2 waste discharge quantity | |
|---|--|
| Provide the actual Part 2 waste discharge quantity for this reporting period. Supporting documentation is to be attached. | |
| Prescribed premises category | Actual Part 2 waste discharge quantity |
| NA | NA |

| Section E – Details of non-compliance with licence condition | | | |
|---|---------------------|----------------------------|------------|
| Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period. | | | |
| Condition no: | Condition 3.1.2 (c) | Date(s) of non-compliance: | 08/07/2020 |
| Details of non-compliance: | | | |
| <p>The second 6-monthly point source (stack) analysis for the Roaster Stack in the 2019-20 reporting period was taken on 25/02/2020 and the first 6-monthly sample of the current reporting period was taken on 08/07/2020, less than five (5) months apart as required by condition 3.1.2 (c). The stack analysis should have been performed after 25/07/2020 to be compliant.</p> <p>This was identified at the time of preparation of the Annual Environmental Report.</p> | | | |
| What was the actual (or suspected) environmental impact of the non-compliance? | | | |
| <p>NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.</p> | | | |
| The non-compliance was administrative and resulted in no actual or suspected environmental impact. The timing of the stack analysis did not impact on the outcomes of the analysis. | | | |
| Cause (or suspected cause) of non-compliance: | | | |
| This non-compliance has been investigated and the root cause identified was that the scheduling of stack testing is restricted based on operational requirements (i.e. the testing can only be performed when the roaster is operating normally) and the availability of specialist consultants. | | | |
| Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance: | | | |
| <p>Where practicable, 6-monthly stack testing is scheduled at least 5 months apart as required and the schedule of specialist consultants</p> <p>Reported to DWER in the 2020-21 Annual Environmental Report.</p> | | | |
| Was this non-compliance previously reported to DWER? | | | |
| <input checked="" type="checkbox"/> Yes, and | | | |
| <input type="checkbox"/> Reported to DWER verbally | | Date: / / | |
| <input checked="" type="checkbox"/> Reported to DWER in writing in the AER | | Date: 28 / 09 / 2021 | |

Section F – Declaration

I / We declare that the information in this Annual Audit Compliance Report is true and correct and is not false or misleading in a material particular¹.

I / We consent to the Annual Audit Compliance Report being published on the Department of Water and Environmental Regulation’s (DWER) website.

| | | | |
|-------------------------------|--|-----------------|----|
| Signature ² : | | Signature: | -- |
| Name: (printed) | | Name: (printed) | -- |
| Position: | | Position: | -- |
| Date: | | Date: | -- |
| Seal (if signing under seal): | | | |

¹ It is an offence under section 112 of the *Environmental Protection Act 1986* for a person to give information on this form that to their knowledge is false or misleading in a material particular.

² AACRs can only be signed by the licence holder or an authorised person with the legal authority to sign on behalf of the licence holder.