



Annual Audit Compliance Report Form

Environmental Protection Act 1986, Part V

| Section A – Licence Details | | | |
|-----------------------------|--|----------------------|------------------|
| Licence number: | L8532/2011/2 | Licence file number: | DER2014/000885-1 |
| Licence holder: | Northern Star (Thunderbox) Pty Ltd | | |
| Trading as: | Northern Star (Thunderbox) Pty Ltd | | |
| ACN: | 107 154 727 | | |
| Registered address: | Level 1, 388 Hay Street SUBIACO WA 6008 | | |
| Reporting period: | 1 / 9 / 2021 to 31 / 8 / 2022 | | |

| Section B – Statement of Compliance with Licence Conditions |
|---|
| Did you comply with all of your licence conditions during the reporting period? (please tick the appropriate box) |
| <input type="checkbox"/> Yes – please complete: <ul style="list-style-type: none">• section C;• section D if required; and• sign the declaration in Section F. |
| <input checked="" type="checkbox"/> No – please complete: <ul style="list-style-type: none">• section C;• section D if required;• section E; and• sign the declaration at Section F. |

| Section C – Statement of Actual Production | |
|---|----------------------------|
| Provide the actual production quantity for this reporting period. Supporting documentation is to be attached. | |
| Prescribed Premises Category | Actual Production Quantity |
| Category 6 | 0 |

| Section D – Statement of Actual Part 2 Waste Discharge Quantity | |
|---|--|
| Provide the actual Part 2 waste discharge quantity for this reporting period. Supporting documentation is to be attached. | |
| Prescribed Premises Category | Actual Part 2 Waste Discharge Quantity |
| | |

| Section E – Details of Non-Compliance with Licence Condition | | | |
|--|--------------|----------------------------|------------------|
| Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period. | | | |
| Condition no: | Condition 10 | Date(s) of non-compliance: | Reporting Period |
| Details of non-compliance: | | | |
| Omission of sampling analysis for Calcium, Nickle, Sulphate, and Carbonate due to an incorrect chain of custody (COC) template during April and July quarterly sampling rounds. | | | |
| What was the actual (or suspected) environmental impact of the non-compliance? | | | |
| NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place. | | | |
| No impact, monitoring continues and improvements have been identified to ensure monitoring completeness. | | | |
| Cause (or suspected cause) of non-compliance: | | | |
| Incorrect COC, new staff and lack of database system to support gap identification. | | | |
| Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance: | | | |
| Changes and improvements have been made to COC templates, procedures and training has been provided to new staff and a new database has been implemented with automated completeness checks. | | | |
| Was this non-compliance previously reported to DWER? | | | |
| <input type="checkbox"/> Yes, and | | | |
| <input type="checkbox"/> Reported to DWER verbally | | Date: / / | |
| <input type="checkbox"/> Reported to DWER in writing | | Date: / / | |

Section F – Declaration

I/We declare that the information in this Annual Audit Compliance Report is true and correct and is not false or misleading in a material particular¹. I/We consent to the Annual Audit Compliance Report being published on the Department of Water and Environmental Regulation's (DWER) website.

| | | | |
|-------------------------------|--------------------|-----------------|--|
| Signature ² : | | Signature: | |
| Name: (printed) | | Name: (printed) | |
| Position: | Registered Manager | Position: | |
| Date: | 1/11/2021 | Date: | |
| Seal (if signing under seal): | | | |

¹ It is an offence under section 112 of the *Environmental Protection Act 1986* for a person to give information on this form that to their knowledge is false or misleading in a material particular.

² AACRs can only be signed by the licence holder or an authorised person with the legal authority to sign on behalf of the licence holder.