



## Annual Audit Compliance Report Form

*Environmental Protection Act 1986, Part V*

Once completed, please submit this form via email to [info-der@dwer.wa.gov.au](mailto:info-der@dwer.wa.gov.au)

Section A – Licence Details			
Licence number:	L8698/2012	Licence file number:	2012/007203
Licence holder:	[REDACTED]		
Trading as:	[REDACTED] ct		
ACN:	[REDACTED]		
Registered address:	[REDACTED] [REDACTED] [REDACTED]		
Reporting period:	01/01/2020 to 31/12/2020		

Section B – Statement of Compliance with Licence Conditions
Did you comply with all of your licence conditions during the reporting period? (please tick the appropriate box)
<input type="checkbox"/> Yes – please complete: <ul style="list-style-type: none"><li>• section C;</li><li>• section D if required; and</li><li>• sign the declaration in Section F.</li></ul>
<input checked="" type="checkbox"/> No – please complete: <ul style="list-style-type: none"><li>• section C;</li><li>• section D if required;</li><li>• section E; and</li><li>• sign the declaration at Section F.</li></ul>

<b>Section C – Statement of Actual Production</b>	
Provide the actual production quantity for this reporting period. Supporting documentation is to be attached.	
<b>Prescribed Premises Category</b>	<b>Actual Production Quantity</b>
Category 5 – Processing or beneficiation of metallic or non-metallic ore	0 tonnes
Category 6 - Mine dewatering discharge	0 kL
Category 64 - Class II putrescible landfill site	0 tonnes

<b>Section D – Statement of Actual Part 2 Waste Discharge Quantity</b>	
Provide the actual Part 2 waste discharge quantity for this reporting period. Supporting documentation is to be attached.	
<b>Prescribed Premises Category</b>	<b>Actual Part 2 Waste Discharge Quantity</b>
Category 5 – Processing or beneficiation of metallic or non-metallic ore	0 tonnes
Category 6 - Mine dewatering discharge	0 kL

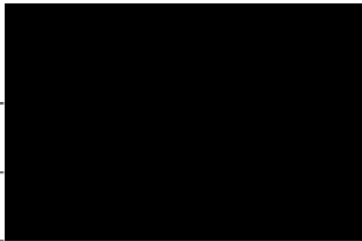
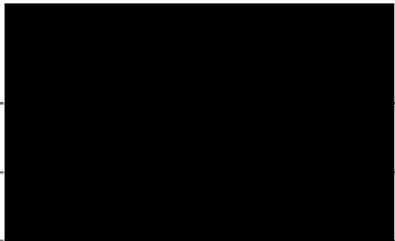
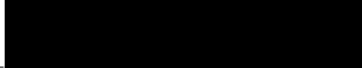
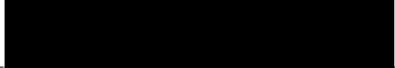

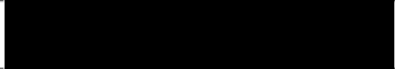
Section E – Details of Non-Compliance with Licence Condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	3.4.1	Date(s) of non-compliance:	Throughout 2021
Details of non-compliance:			
Under L8698/2012 condition 3.4.1 the Licence Holder is required to collect quarterly groundwater samples for NATA analysis from Tailings Storage Facility monitoring bores (TSFMB 07-13). However, it was not possible to collect groundwater samples at TSFMB08, TSFMB11 and TSFMB12 as these were dry bores throughout the entirety of the reporting period.			
What was the actual (or suspected) environmental impact of the non-compliance?			
<b>NOTE</b> – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.			
Limited environmental impact is associated with this non-compliance.			
The 20201 AER Figure 3 depicts the TSF and TSFMB 07-13 monitoring bore locations.			
There has been no tailings deposition into the TSF since November 2017. To date, during both operational and care and maintenance stages, no TSF monitoring bore has recorded a WADCN level above the reportable licence limit of 0.5 mg/L for any sampling event.			
Cause (or suspected cause) of non-compliance:			
Non-collection of groundwater samples for NATA analysis at three TSF monitoring bores due to these monitoring bores been dry throughout the reporting period.			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
Routine groundwater sampling was completed at the remaining TSF monitoring bores.			
Quarterly groundwater sampling will be continued during 2022 with samples collected at TSFMB08, TSFMB11 and TSFMB12 if sufficient water samples can be obtained.			
Was this non-compliance previously reported to DWER?			
<input checked="" type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally		Date: / /	
<input checked="" type="checkbox"/> Reported to DWER in writing		Date: 31 / 03 / 2022	

Section E – Details of Non-Compliance with Licence Condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	2019 C and M Plan	Date(s) of non-compliance:	<a href="#">Click here to enter text.</a>
Details of non-compliance:			
<p>As per commitments in AWM's approved 2019 Care and Maintenance Plan quarterly photo-monitoring of individual dewatering discharge spigots situated along the ridgeline was required. The four 2021 quarterly sampling events were completed; however, the following two minor non-compliances at individual spigots were recorded:</p> <ul style="list-style-type: none"> <li>• No photo was taken at spigot 7 in April 2021.</li> <li>• The photo taken at spigot 5 in November 2021 was in the wrong orientation.</li> </ul>			
<p>What was the actual (or suspected) environmental impact of the non-compliance?</p> <p><b>NOTE</b> – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.</p>			
<p>Limited environmental impact was associated with these minor non-compliances.</p> <p>All routine quarterly photo monitoring programs were undertaken during 2021, albeit with the above two exceptions. There has been no surface water discharge along the ridgeline via any individual spigot during the reporting period. Further, the annual ridgeline vegetation health assessment was also completed in August 2021.</p>			
Cause (or suspected cause) of non-compliance:			
<p>The suspected cause of the minor non-compliance was familiarisation with the Project area. Minor amendments to the standard operating procedure and further education of personnel have been undertaken.</p>			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
<p>Routine quarterly photo-monitoring will be undertaken during the 2022 reporting period. Care and maintenance personnel have been advised to check all photos against previous assessments to ensure the correct photo-orientation and number of photos have been collected.</p>			
Was this non-compliance previously reported to DWER?			
<input type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally		Date: / /	
<input type="checkbox"/> Reported to DWER in writing		Date: / /	

**Section F – Declaration**

I / We declare that the information in this Annual Audit Compliance Report is true and correct and is not false or misleading in a material particular<sup>1</sup>.

I / We consent to the Annual Audit Compliance Report being published on the Department of Water and Environmental Regulation's (DWER) website.

Signature <sup>2</sup> :		Signature:	
Name: (printed)		Name: (printed)	
Position:		Position:	
Date:	17/01/2022	Date:	17/01/2022
Seal (if signing under seal):			

<sup>1</sup> It is an offence under section 112 of the *Environmental Protection Act 1986* for a person to give information on this form that to their knowledge is false or misleading in a material particular.

<sup>2</sup> AACRs can only be signed by the licence holder or an authorised person with the legal authority to sign on behalf of the licence holder.