



Annual Audit Compliance Report Form

Environmental Protection Act 1986, Part V Division 3

Once completed, please submit this form either via email to info@dwer.wa.gov.au, or to the below postal address:

Department of Water and Environmental Regulation
Locked Bag 10
Joondalup DC WA 6919

Section A – Licence details

Licence number:	L9009/2016/01	Licence file number:	DER2016/002134-1
Licence holder name:	Northern Minerals Limited		
Trading as:	Northern Minerals Limited		
ACN:	119 966 353		
Registered business address:	40 Kings Part Road, West Perth, WA 6005		
Reporting period:	01/01/2024 to 31/12/2024		

Section B – Statement of compliance with licence conditions

Did you comply with all of your licence conditions during the reporting period?
(please tick the appropriate box)

- ☐ Yes – please complete:
- section C;
 - section D (if required); and
 - sign the declaration in Section F.
- ☒ No – please complete:
- section C;
 - section D (if required);
 - section E; and
 - sign the declaration in Section F.

Section C – Statement of actual production

Provide the actual production quantity for this reporting period. Supporting documentation is to be attached.

Prescribed premises category	Actual production quantity
Category 5 – Processing or beneficiation of metallic or non-metallic ore; Assessed production capacity 131,490 tonnes per Annual Period.	Zero tonnes were processed during the reporting period as the Project was placed into care and maintenance on 20 April 2022.
89 – Putrescible landfill site; Assessed production capacity 499 tonnes per Annual Period.	190.5 m ³ of (uncompacted) waste disposed to landfill. Assuming volume reduced following compaction, it's estimated that 57.15 (compacted) tonnes were disposed to landfill.

Section D – Statement of actual Part 2 waste discharge quantity

Provide the actual Part 2 waste discharge quantity for this reporting period. Supporting documentation is to be attached.

Prescribed premises category	Actual Part 2 waste discharge quantity
Tailings Storage Facility (TSF)	Zero tails solids or slurry was discharged to the TSF as the Project was placed into care and maintenance on 20 April 2022.
Evaporation Pond (EP) Raffinate	Zero raffinate was discharged to the EP as the Project was placed into care and maintenance on 20 April 2022.

Section E – Details of non-compliance with licence condition

Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.

Condition no:	3	Date(s) of non-compliance:	2024
Details of non-compliance:			
<p><i>Condition 3: The licence holder shall ensure that where waste produced on the Premises are not taken off-site for lawful use or disposal, they are managed according to the requirements in Table 1. Table 1: Undertake fortnightly inspections of the landfill fence or other physical barrier and ensure any damage to the fence is repaired within 14 days.</i></p> <p>Documentation of the landfill being inspected is available for 16 of the required 24 times in 2024. NTU is confident the landfill is inspected at least fortnightly, however in the absence of evidence of this occurring, NTU has marked this item as a non-conformance as a precaution and to be transparent.</p>			
What was the actual (or suspected) environmental impact of the non-compliance?			
<p>NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.</p>			
It was considered that limited to negligible environmental risk is associated with this non-compliance.			
Cause (or suspected cause) of non-compliance:			
Administrative			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
An incident was raised in NTUs 'Safety, Training and Environment Management System' (STEMS), event ID 2724. The entry requires each 2024 AER non-conformance to be investigated and corrective and preventative actions raised, where possible.			
Was this non-compliance previously reported to DWER?			
<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally		Date: / /	
<input type="checkbox"/> Reported to DWER in writing		Date: / /	

Section E – Details of non-compliance with licence condition

Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.

Condition no:	10	Date(s) of non-compliance:	2023 to present
Details of non-compliance:			
<p><i>Condition 10: The licence holder shall undertake an annual water balance for the TSF. The water balance shall as a minimum consider and include the following:</i> <i>(a) site rainfall; (b) evaporation; (c) tailings return water recovery volumes; (d) seepage recovery volumes; and (e) volumes of tailings deposited.</i></p> <p>The TSF Water Balance was updated to the end of February 2022 following completion of the Pilot Plant activities. Whilst in care and maintenance (C&M), the TSF water balance is not considered to be necessary as the risk of the TSF overtopping is considered low to negligible as explained in the AER - Section 3.</p> <p>Despite this, NTU failed to seek DWER support to amend the condition prior to the TSF Water Balance being required to be conducted during the reporting period. As such it remains a non-conformance to condition 10.</p>			
What was the actual (or suspected) environmental impact of the non-compliance?			
<p>NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.</p>			
<p>The risk of the TSF overtopping is considered low to negligible as the site is in C&M. It was considered that limited environmental risk is associated with this non-compliance.</p>			
Cause (or suspected cause) of non-compliance:			
Administrative			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
An incident was raised in STEMS, event ID 2724. The entry requires each 2024 AER non-conformance to be investigated and corrective and preventative actions raised, where possible.			
Was this non-compliance previously reported to DWER?			
<input type="checkbox"/> No <input checked="" type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally		Date: / /	
<input checked="" type="checkbox"/> Reported to DWER in writing		Date: 31/03/2024 (2023 DWER AER)	

Section E – Details of non-compliance with licence condition

Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.

Condition no:	21	Date(s) of non-compliance:	2024
Details of non-compliance:			
<p><i>Condition 21: The license holder shall undertake the monitoring in Table 12 according to the specifications in Table 12. Table 12 relates to the TSF, EP and Landfill monitoring bores.</i></p>			


Section E – Details of non-compliance with licence condition	
Majority (95%) of monitoring was complete. Some testing of analytes was missed (5%) during the reporting period. As identified in the 2024 AER Appendix 1 and 2.	
What was the actual (or suspected) environmental impact of the non-compliance?	
NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.	
No impact to environment	
Cause (or suspected cause) of non-compliance:	
Administrative / scheduling error	
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:	
An incident was raised in STEMS, event ID 2724. The entry requires each 2024 AER non-conformance to be investigated and corrective and preventative actions raised, where possible.	
Was this non-compliance previously reported to DWER?	
<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes, and	
<input type="checkbox"/> Reported to DWER verbally	Date: / /
<input type="checkbox"/> Reported to DWER in writing	Date: / /

Section E – Details of non-compliance with licence condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	22	Date(s) of non-compliance:	2024
Details of non-compliance:			
<p><i>Condition 22: The license holder shall undertake the monitoring in Table 13 according to the specifications in Table 13 for release of captured water into the environment. Table 13 relates to sampling of the Event Ponds.</i></p> <p>The majority of monitoring (99%) was complete. Some testing of analytes was missed (1%) during the reporting period. As identified in the 2024 AER Appendix 1 and 2.</p>			
What was the actual (or suspected) environmental impact of the non-compliance?			
NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.			
No impact to environment			
Cause (or suspected cause) of non-compliance:			
Administrative / scheduling error			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
An incident was raised in STEMS, event ID 2724. The entry requires each 2024 AER non-conformance to be investigated and corrective and preventative actions raised, where possible.			
Was this non-compliance previously reported to DWER?			
<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally		Date: / /	
<input type="checkbox"/> Reported to DWER in writing		Date: / /	

Section F – Declaration

I / We declare that the information in this Annual Audit Compliance Report is true and correct and is not false or misleading in a material particular¹.

I / We consent to the Annual Audit Compliance Report being published on the Department of Water and Environmental Regulation's (DWER) website.

Signature ² :		Signature:	
Name: (printed)		Name: (printed)	
Position:		Position:	
Date:		21 / 03 / 2025	Date:
Seal (if signing under seal):			

¹ It is an offence under section 112 of the *Environmental Protection Act 1986* for a person to give information on this form that to their knowledge is false or misleading in a material particular.

² AACRs can only be signed by the licence holder or an authorised person with the legal authority to sign on behalf of the licence holder.